

PCT

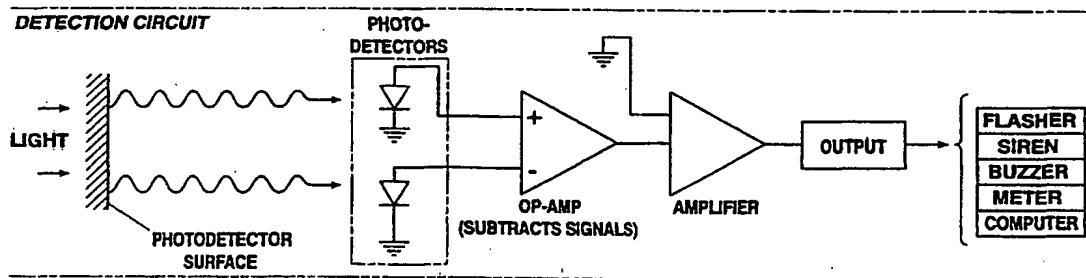
WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>7</sup> :	A2	(11) International Publication Number: WO 00/45149
G01N 21/00		(43) International Publication Date: 3 August 2000 (03.08.00)
(21) International Application Number:	PCT/US00/01272	
(22) International Filing Date:	19 January 2000 (19.01.00)	
(30) Priority Data:	<p>60/116,504 20 January 1999 (20.01.99) US</p>	
(71) Applicant:	THE BOARD OF REGENTS FOR OKLAHOMA STATE UNIVERSITY [US/US]; 203 Whitehurst, Oklahoma State University, Stillwater, OK 74078 (US).	
(72) Inventor:	HARMON, H., James; 815 Willment Place, Edmond, OK 73034 (US).	
(74) Agent:	WEEKS, R., Alan; Fellers, Snider, Blankenship, Bailey & Tippens, P., C., Suite 800, 321 S. Boston Avenue, Tulsa, OK 74103-3318 (US).	

(54) Title: BROAD SPECTRUM BIO-DETECTION OF NERVE AGENTS, ORGANOPHOSPHATES, AND OTHER CHEMICAL WARFARE AGENTS



(57) Abstract

The instant invention pertains generally to a method and apparatus for rapidly detecting nerve agents, organophosphates, and other chemical warfare agents. A sensor has been developed that can be used to rapidly detect multiple analytes such as organic compounds. Analytes can be detected by monitoring changes in the optical properties of the absorbance and/or fluorescence spectra of highly colored heterocyclic compounds such as porphyrins or related compounds such as phthalocyanines. The result is a real-time monitor that is suitable for use in situations where encounter with chemical warfare agents is possible.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**Broad Spectrum Bio-Detection of Nerve Agents,  
Organophosphates, and Other Chemical Warfare Agents**

1

**TECHNICAL FIELD**

The present invention relates to real-time or near real-time detection in gases or fluids of nerve agents of the sort commonly encountered in chemical warfare agents.

6

**BACKGROUND**

Often referred to as the "poor man's nuclear weapon", chemical and biological weapons of war are so named because they cost much less than real nuclear weapons to

11 develop, do not require a high level of technology to produce, and can potentially kill enormous numbers of people. Indeed, unlike nuclear weapons, which require a large, specialized, and costly scientific-industrial base, chemical and biological agents can be made with commercial equipment generally available to any country. Weapons of this sort are especially attractive for use by developing countries against super powers, as they tend to  
16 level the playing field in struggles against these better armed and trained opponents. The use of biological and chemical weapons of mass destruction is banned by international treaty, but reports of suspected and confirmed use continue.

Biological weapons can be produced from widely available pathogens which may be procured for legitimate bio-medical research or obtained from soil or infected animals and

21 humans. Moreover, many of the infectious diseases associated with biological warfare are endemic to most of the states suspected of developing a biological weapon capability.

Biological agents are thus both cheap and easy to obtain: in effect, any nation with a basic pharmaceutical industry — or even a facility such as a brewery — has the capability of producing biological weapons.

1        Biological agents contain either living organisms or their derivatives, such as toxins, which cause disease or death in humans, animals, or food crops. Living organisms multiply within the living targets to produce their effects, whereas toxins cannot reproduce themselves. Toxins are generally more lethal, and act relatively quickly causing incapacitation or death within minutes or hours. Living organisms (microbial pathogens), require incubation periods  
6 of 24 hours to 6 weeks between infection and appearance of symptoms. This incubation period places limits on their battlefield utility, but it also means that biological weapons can continue to have a significant impact many weeks after the initial attack (e.g., by causing a long-term pandemic). Likewise, this delayed incubation period may mean that a biological attack can be completed before those on the ground have realized that it has occurred, or even  
11 take place entirely covertly, the effects being confused with a natural outbreak of disease.

Biological agents are odorless, tasteless, and when dispersed in an aerosol cloud, are invisible to the human eye because the particle size of the aerosol is extremely small — as small as 1 to 5 micrometers or microns. Weight-for-weight, biological weapons are hundreds to thousands of times more potent than the most lethal chemical weapon, meaning that even  
16 small amounts (e.g., a few kilograms) could be used with devastating effect, whereas hundreds or thousands of tons of chemical agents could be required for militarily significant operations.

Among lethal chemical warfare agents, nerve agents have played a dominant role since the Second World War. Nerve agents are so-called because they affect the transmission  
21 of nerve impulses within the nervous system. Nerve agents belong chemically to the group of organo-phosphorus ("OP", hereinafter) compounds. OP compounds are stable, easily dispersed, highly toxic, and take effect rapidly both when absorbed through the skin and via

1 respiration. They can be manufactured by means of fairly simple chemical techniques and the raw materials to manufacture them are inexpensive and generally readily available. Sarin, one of the more familiar nerve agents, dates from the Second World War and is considered a "classic" substance. In the mid-1950's, however, a group of more stable nerve agents known was the V-agents were developed, with VX being one of the more successful variants. These  
6 later-day chemical weapons are approximately ten-fold more poisonous than sarin and are thus among the most toxic substances ever synthesized.

Nerve agents in pure state are colorless liquids with volatiles that vary depending on the particular compound. The consistency of VX may be likened to a non-volatile oil and is therefore classified as belonging to the group of persistent chemical warfare agents. It enters  
11 the body mainly through direct contact with the skin. Sarin is at the opposite extreme, being a relatively volatile liquid (comparable with, e.g., water), and is mainly taken up through the respiratory organs.

The nerve agent, either as a gas, aerosol or liquid, enters the body through inhalation or through the skin. Poisoning may also occur through consumption of liquids or foods  
16 contaminated with nerve agents. The route through which the poison enters the body largely determines the time required for the nerve agent to begin having an effect. It also influences the symptoms developed and, to some extent, the sequence of the different symptoms.

Generally, poisoning takes place more rapidly when the agent is absorbed through the respiratory system than when it enters via other routes such as the skin. This is because the  
21 lungs contain numerous blood vessels which provide for rapid assimilation and transmission to the target organs. Nerve agents are more or less fat-soluble and can penetrate the outer layers of the skin. However, it takes some time before the poison reaches the deeper blood

1 vessels. Consequently, the first symptoms may not appear until 20-30 minutes after the initial exposure. Chemically, nerve agents act by binding to an enzyme in the body of the victim, acetylcholinesterase, which inhibits this vital enzyme's normal biological activity in the cholinergic nervous system.

Most recent research in the area of chemical and biological weapons has been focused  
6 on the detection and treatment of exposed individuals rather than the creation of new agents. Because the length of time that an individual is exposed to the agent can be determinative of the likelihood of successful treatment, rapid recognition that an exposure has occurred may mean the difference between life and death. Of course, this recognition / identification time includes not only the time required to perform the necessary diagnostic or chemical tests, but  
11 also the time required to move the victim or exposed item to a testing station or facility (or to move the testing unit to the victim, in some cases).

Certainly, there are any number of methods for detecting specific organophosphate compounds in water or air. However, the methods suggested heretofore for are either too slow to make them useful for real time detection, or too bulky to be easily transported to a  
16 location near the front lines, where an attack would normally first be registered. For example, one common method of determining the presence of an OP compound is to measure the biochemical activity of acetylcholinesterase; if OP is present, the activity per enzyme molecule present decreases. However, this method is very slow and it might require days to get the sample to the lab and complete the tests. Additionally, even if conventional the  
21 transportable units were fast enough to make them useful in real-time, they are too bulky to be distributed to and carried by every soldier which would be, of course, the best method of distribution. Further, most traditional methods of detecting nerve-type agents are designed to

- 1 respond to one (or a few) specific compounds, which creates certain risks for in-field use, where the particular nerve gas variant might be different than expected

Heretofore, as is well known in the chemical and biological warfare arts, there has been a need for a method and apparatus that provides for rapid detection of nerve agents such as organophosphate compounds ("OP" compounds, hereinafter). This method should operate

- 6 quickly and reliably to provide identification at the earliest possible moment, preferably in real-time or nearly so. It should work to detect these compounds in air or water and be portable and inexpensive enough to be issued to each individual who is at risk of exposure.
- Finally, it should a broad band detector which is responsive to a wide variety of OP compounds. Accordingly, it should now be recognized, as was recognized by the present
- 11 inventor, that there exists, and has existed for some time, a very real need for an invention that would address and solve the above-described problems.

Before proceeding to a description of the present invention, however, it should be noted and remembered that the description of the invention which follows, together with the accompanying drawings, should not be construed as limiting the invention to the examples

- 16 (or preferred embodiments) shown and described. This is so because those skilled in the art to which the invention pertains will be able to devise other forms of this invention within the ambit of the appended claims.

1

## SUMMARY OF THE INVENTION

According to a first preferred aspect of the instant invention, there is provided a method for detecting the presence of nerve agents, OP compounds, and other molecules, including pesticides by detecting an alteration of the electron configuration and spectral properties of porphyrins and porphyrin surfaces and, recognizing the changes in protein conformation which are evidenced by alteration of porphyrins or other colorimetric indicators complexed with the protein. In brief, this embodiment of the instant invention detects an analyte by measuring the conformational change of its specific binding protein.

The instant invention is founded on the observation that complexes of protein with colorimetric compounds can be used to detect the presence of very low concentrations of hazardous or chemical warfare agents. Changes in the spectrum of a properly chosen colorimetric compound can be used as a "real-time" indicator to detect the presence of a broad range of dangerous substances such as nerve agents, organophosphates, and other chemical warfare agents. By way of general explanation, it is well known, and will be further discussed in the following narrative, that the electron distribution in a colorimetric compound is altered by its immediate environment. Changes in electron distribution result in corresponding changes in the spectrum of the colorimetric indicator. Thus, an indicator for use in detecting hazardous compounds may be created by monitoring specific lights wavelengths in the spectrum of a colorimetric compound of choice. Further, because of the multiplicity of absorbance bands in various of these indicators, unique spectral "signatures" may be developed for use in subsequent detection.

Measurements of the optical changes in the colorimetric indicator are preferably made using both absorbance and fluorescence spectroscopy in the visible (400-800 nm) region. However, rather than attempt to directly sense changes in the spectrum of the indicator,

1 difference spectra are preferably used instead, a difference spectrum being defined to be the spectrum of the indicator following exposure to an analyte minus the spectrum of the indicator prior to exposure. Wavelength shifts as small as 1-2 nm and absorbance changes down to or below 0.005A can be identified using the difference spectrum, thereby making it possible to identify over 7700 different analytes and quantify their concentration levels down  
6 to the  $10^{-9}$  M range.

Binding of substrate as well as inhibitors of enzymes may induce conformational changes in the enzyme. As is described hereinafter, changes in protein conformation induced by a substrate / inhibitor can be detected by porphyrins. More particularly, the change in conformation of acetylcholine esterase (the principal target for nerve agents and pesticides)

11 upon binding of inhibitors is detected by colorimetric indicators such as porphyrins. Enzymes can be immobilized and complexed with porphyrins to make a solid-state monolayer reactive thin film sensor surface whose optical properties can readily be detected.

According to a second aspect of the instant invention, there is provided an apparatus for detecting materials such as nerve agents, pesticides, and OP compounds, which uses real-

16 time measurement of the changing spectral characteristics of a substrate as an indication of the presence of these materials. More particularly, the instant apparatus monitors the changing optical spectrum of a specially prepared colorimetrically responsive surface which indicates the presence of materials such as organophosphates through changes in its spectrum.

Broadly speaking, the instant apparatus consists of a light source (preferably emitting light in  
21 the 400 nm to 800 nm range); a colorimetrically responsive surface which is illuminated by the irradiating light source; and, an optically sensitive detector which is directed toward the illuminated surface. In the preferred embodiment, the light source / detector combination operates continuously so that changes in the absorptive properties of the detection surface are

1 immediately identified. It should be noted that the instant invention can be made small enough to take the form of a badge or similar device that might be worn continuously by at-risk personnel, and this device might also incorporate some sort of warning mechanism to notify the wearer the instant that OP compounds are detected. However, the instant inventor additionally contemplates that the light source and detector might be maintained separately

6 from the detection surface, with identifying tests being conducted at some central location such as a testing station or laboratory.

Among the many agents / analytes which are detectable by the instant invention are "simulants" of chemical and biological warfare agents: DIMP, DMMP, MPA, malathion, parathion to simulate organo-phosphate agents such as Sarin or VX; and imidazole,

11 methionine, thiodiethanol, cysteine, and other sulfur-containing organic molecules to simulate mustards.

In summary, the primary objectives the instant invention are two fold. First, to utilize the spectral changes of colorimetric indicators such as porphyrins to identify chemical/biological agents (or simulants thereof) at different concentrations. In the preferred

16 embodiment, the colorimetric indicator will either be a porphyrin in solution or a porphyrin immobilized onto a solid surface for use in test aqueous samples and samples in air.

A second object of the instant invention is to exploit the conformational changes in acetylcholine esterase and related enzymes induced by binding of inhibitors, including nerve agents, through the monitoring of spectral changes of colorimetric indicators reflective of that

21 change.

The foregoing has outlined in broad terms the more important features of the invention disclosed herein so that the detailed description that follows may be more clearly understood, and so that the contribution of the instant inventor to the art may be better

- 1 appreciated. The instant invention is not to be limited in its application to the details of the construction and to the arrangements of the components set forth in the following description or illustrated in the drawings. Rather, the invention is capable of other embodiments and of being practiced and carried out in various other ways not specifically enumerated herein.
- Finally, it should be understood that the phraseology and terminology employed herein are
- 6 for the purpose of description and should not be regarded as limiting, unless the specification specifically so limits the invention.

1

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 contains difference spectra of TPPS plus naphthalene minus TPPS for four different concentrations of naphthalene.

Figure 2 illustrates difference spectra of glucose oxidase, boiled glucose oxidase, and  
6 boiled glucose oxidase minus glucose oxidase all in the presence of the porphyrin TPPS.

Figure 3 contains differences spectra of Trypsin plus Inhibitor (INH) plus TPPS minus Trypsin plus TPPS; Trypsin plus TPPS minus TPPS; and, Trypsin plus inhibitor plus TPPS minus TPPS.

Figure 4 illustrates the spectra that result in the presence of TPPS for Gluconolactone,  
11 Glucosidase, and Glucosidase plus Gluconolactone. The numbers indicate the wavelengths at which absorbance is lost / wavelengths at which absorbance is gained.

Figure 5 contains a simplified schematic of an OP detector that implements the method of the instant invention.

Figure 6 illustrates an OP detection circuit, wherein a fluorescent light source is used.  
16 Figure 7 contains another OP detector schematic.

Figure 8 schematically illustrates the conformational changes that occur in acetylcholinesterase in the presence of an inhibitor such as VX nerve gas.

1

## DETAILED DESCRIPTION

The instant disclosure teaches a new approach to the detection of OP-type compounds that are commonly used as warfare (nerve) agents and are also found in some pesticides, etc.

The method and apparatus of the instant invention can be configured to detect multiple

6 analytes (such as organic compounds) in liquid or vapor (air) and operates generally by monitoring the optical properties of a chemical that is altered by interaction with the OP / agent. In more particular, analytes can be detected by monitoring changes in the optical properties of the absorbance, reflectance, and/or fluorescence spectra of highly colored heterocyclic compounds such as porphyrins or related compounds such as phthalocyanines.

11 Further, the instant apparatus and method is unique in that it does not require pre-use "calibration" of the colorimetric materials or apparatus. Because the instant invention continuously monitors the detecting surface/volume and compares its spectrographic properties with those of the detecting surface a few moments before, the invention disclosed herein is to a large extent self-calibrating.

16 A fundamental concept underlying the preferred sensor design is the change in the 3-dimensional conformation of a protein / enzyme when it binds its substrate or specific inhibitor. The binding of substrates to enzyme results in the formation of an enzyme-substrate complex (ES complex) that has been observed to alter the physical shape of the protein as well as the optical spectrum of the enzyme. It is this change in the optical

21 spectrum that is most important for purposes of the instant invention.

### General Environment of the Instant Invention

OP compounds inhibit the enzyme acetylcholinesterase, which breaks the neurotransmitter acetylcholine into acetate and choline by binding at the active site in place of

1 acetylcholine. The phosphate group of the OP agents binds irreversibly with serine (residue #200) in the active site. It is the presence of the serine in the active site of acetylcholine esterase (AChE) as well as trypsin and chymotrypsin, papain, and other enzymes that puts these enzymes in the broad category of "serine proteases or esterases". It is to be expected, and has been observed, that the inhibitor paraoxon — which binds in the same place as

6 acetylcholine — operates to make the overall shape of AChE less ellipsoid. Igarashi, S. and Yotsuyanagi, T. (1993), *Analytica Chimica Acta.* 281, 347-351. In other words, a conformational change occurs upon binding of an inhibitor (and most likely the substrate as well):

Two specific examples of bindings that result in conformational changes are the

11 joining of oxygen to a crystal of hemoglobin (Hb) and to heme proteins. First, the binding of oxygen to a crystal of hemoglobin (Hb) results in cracking of the hemoglobin crystal. The wavelength of native deoxy- forms of hemoglobin or myoglobin (Mb) is 430 nm; when oxygen binds, the wavelength maximum (wavelength at which maximum absorbance is observed) shifts to 417 nm. Table 1 shows the wavelength maxima of Mb/Hb with other

16 molecules that resemble oxygen and bind to Hb/Mb in place of oxygen. These molecules act as competitive inhibitors by binding at the active site of the enzyme in place of the substrate. Since the active site is occupied by another molecule, it cannot bind to or catalyze the reaction with the substrate. A similar complex is seen in other (endogenously colored) heme proteins such as the enzyme cytochrome oxidase in mitochondria where the binding of

21 the natural substrate (again oxygen) changes the wavelength maximum of the oxidase from 444 to 430 nm. Similar shifts are seen when CO binds in place of oxygen; the wavelength maxima at 448 and 604 nm for the reduced form shift to 430 and 590 nm respectively.

1 **Table 1: Changes in Wavelength of Hemoglobin/Myoglobin in the Presence of Ligands**

<u>Protein and ligand</u>	<u><math>\gamma</math>-band</u>	<u><math>\alpha</math>-band</u>
Hemoglobin/myoglobin	430 nm	555 nm
Oxymyoglobin	417	581
Oxyhemoglobin	415	577
CO-hemoglobin	419	568
Methemoglobin (oxidized with bound water)	406	630

11

Binding of an inhibitor to a site other than the active site can also result in changes in the conformation of a protein / enzyme. This sort of binding would characterize a non-competitive inhibitor, which is one that does not compete with substrate for the active site.

For example, 2,3-diphosphoglycerate binds to deoxyhemoglobin at the center of symmetry of 16 the tetramer consisting of 2 alpha and 2 beta chains in a central cavity far removed from the oxygen-binding (heme) sites and stabilizes the deoxyhemoglobin T-form quaternary structure by cross-linking the B-chains. The T-form is less compact than the R-form (oxygenated), a clear example of a conformational change induced by a non-competitive inhibitor.

Similarly, binding of glycyltyrosine, a slowly hydrolyzed substrate of the enzyme 21 carboxypeptidase A, results in a large conformational shift in the active site configuration. During the binding, tyrosine-248 moves from the surface to the active site. This closes the active site and converts it from a hydrophilic to a hydrophobic region. Other significant changes in conformation are also observed.

Conformation of the protein can be determined several ways: the change in size or 26 shape of the protein can be measured by alteration of its passage through a porous sieve (such as Sephadex); by changes in the rate of electrophoretic migration through a meshwork in an

1 electrical field; optical measurement of changes in circular dichroism (CD) or optical rotatory dispersion (ORD) due to changes in alpha-helix and beta sheet regions of the protein.

Changes in conformation can also be detected by changes in the fluorescence/absorbance of a "reporter" molecule such as anilinonaphthalene sulfonate (ANS) or a molecule whose optical characteristics are affected by its environment.

6 One class of molecule whose optical characteristics are altered by the presence of other molecules including proteins — and which is of particular importance for purposes of the instant disclosure — is the porphyrins. As will be shown hereinafter, the spectra of porphyrins is altered by the presence of numerous organic compounds (e.g., naphthalene, benzene, formaldehyde, isopropanol, alcohols, amino acids, nucleic acids, etc.) including

11 proteins at micro-molar or lower concentrations. Thus, porphyrins or similar molecules are the preferred colorimetric indicator of nerve-agents-induced conformational changes for use with the instant invention.

#### Spectral Properties of Porphyrins

16 One explanation for the observed spectral changes in the porphyrins is the alteration of pi-electrons of the porphyrins (this gives them their intense color) by the analyte molecules. Because of the spectral alterations due to amino acids, changes in the absorbance spectrum of porphyrins by proteins (made up of amino acid residues) are both expected and observed in practice. An example of this change in the porphyrin spectrum is shown in

21 Figure 1, where the wavelength maximum of tetraphenylporphyrin sulfonate (TPPS) changes from 413 nm to 426 nm in the presence of naphthalene, indicated by a decrease in absorbance at approximately 413 nm as TPPS is changed into the naphthalene-TPPS adduct absorbing at 426 nm; in these spectra the difference spectrum is shown in which the spectrum of TPPS

1 alone is subtracted from the spectrum of TPPS in the presence of naphthalene. Shifts in  
 wavelength maxima are observed with different analytes in either free aqueous or  
 immobilized TPPS in either water or air medium. Some examples are given in Table 2.

**Table 2. Changes in Soret Absorbance of TPPS in Presence of Analytes**

6	ANALYTE	Absorbance decrease at (nm)	Absorbance increase (nm)	Immobilized	Medium
	Isopropanol	431	421	yes	air
	acetone	434	423	yes	air
	methanol	429	418	yes	air
11	formaldehyde	431	421	yes	air
	ethanol	427	416	yes	air
	naphthalene	413	426	no	H <sub>2</sub> O
	benzene	413	419	no	H <sub>2</sub> O
	formaldehyde	413	434	no	H <sub>2</sub> O
	naphthalene	424	422	yes	H <sub>2</sub> O
16	tyrosine	413	423	no	H <sub>2</sub> O
	tryptophan	413	425	no	H <sub>2</sub> O
	glycine	409/415 split	--- no peak	no	H <sub>2</sub> O
	methionine	--- no loss	413	no	H <sub>2</sub> O
	adenine	411	421	no	H <sub>2</sub> O
21	thymine	411	--- no peak	no	H <sub>2</sub> O

Changes in the Q- (alpha) bands not listed here.

The shift in wavelength maximum is also different for different proteins, as shown in Table 3.

1

**Table 3. Change in Wavelength of soluble TPPS by Proteins**Protein Wavelength of TPPS-protein Complex

6

RNAase	425 nm
albumins	422
trypsin	421.5
glucosidase	420
acetylcholine esterase	424
lysozyme	426

11 Boiling a protein "denatures" it, changing its "natural" structure, just as frying an egg denatures the protein as witnessed by a change in the nature of the white and the yolk. As seen in Figure 2, boiling denatures glucose oxidase. In the presence of normal glucose oxidase, only a small decrease in absorbance at 412.5 nm is observed. In the presence of boiled glucose oxidase, the absorbance decrease at 412.5 nm is larger and a new absorbance peak at 423 nm is observed (not observed in "normal" glucose oxidase). If TPPS were to experience the same environments in boiled as in native glucose oxidase, there would be no difference in the spectra of boiled and native protein. A change in spectrum indicates that the porphyrin TPPS reflects the change in conformation of the protein caused by boiling.

16

21

**Porphyrin Properties**

Porphyrins are nitrogen-containing compounds that are derived from the parent molecule tetrapterroleporphin and which are very useful for purposes of the instant invention. They are classified on the basis of the nature of the side chains replacing the hydrogens at positions 1-8; methyl, ethyl, vinyl, and propionic acid are common substituents.

26

Complexation of porphyrins with metal alters the absorbance spectrum of the

- 1 porphyrins. It is of interest to note that the wavelength is dependent on the metal as well as  
the solvent used. In a given solvent, the wavelength maxima of the different metal complexes  
are sufficiently different to allow spectrophotometric resolution of the different metal  
complexes. The absorbance spectrum and the extinction coefficient (absorptivity) of a  
metallo-/porphyrins are known to be affected by the solvent. The basis for these solvent-  
6 induced spectral changes is similar to the basis of the change in the wavelengths absorbed by  
altering the groups substituting at positions 1-8 on the porphyrin ring. Factors which cause  
an increase in pi-electron orbitals at the periphery of the porphyrin tend to cause red shifts of  
the absorbance and fluorescence (if present) bands. Red shifts are found to arise as a function  
of the electron affinity of side chain substituents at positions 1-8. As the electronegativity is  
11 increased, the stability of the metal chelate decreases and absorption/emission bands shift  
accordingly. As pi electrons are withdrawn from the periphery, the spectrum blue shifts to  
shorter wavelengths.

Just as the energy transitions for absorbance of photon energy are altered, so are the  
energy transitions involved in photon emission of absorbed energy. Thus the fluorescence  
16 spectra of porphyrins is altered, each having its unique spectrum.

#### Detection of Organic Ligands by Complex Formation with Porphyrins

In order to function as a detector for purposes of the instant invention, the detector  
molecule must be able to interact with the target analyte(s) and its spectrum (absorbance,  
21 fluorescence, or reflectance) must be altered by the interaction.

A number of publications report the chemical alteration of organic molecules by the  
catalytic activity of porphyrins and porphyrin-like heterocyclic compounds. In view of the  
intense light absorbance by these molecules, some of the catalytic processes are light-

1 activated and light-dependent; others may require the presence of a reductant as well.

Of particular importance for purposes of the instant invention are the spectrographic changes that occur in porphyrin catalyzed reactions. The crux of the phenomenon is that in order for porphyrins to catalyze an organic reaction, the porphyrin must bind, "dock" with, or somehow interact physically with the organic at least once during the catalytic process (a  
6 collisional encounter between substrate and catalyst). In those cases where a reactant [such as OH<sup>-</sup>, H<sup>+</sup>, electrons, O<sub>2</sub><sup>-</sup>, <sup>1</sup>Δ<sub>g</sub>O<sub>2</sub> (singlet oxygen)] may be generated at the porphyrin and diffuse to the organic molecule, the diffusion distance must be very small (the lifetime of singlet oxygen in H<sub>2</sub>O being on the order of nanoseconds), indicating that the porphyrin and organic are very close together, probably "docked".  
11 Docking of the organic, analogous to the formation of an enzyme-substrate complex, should result in a distortion of the electron distribution of both molecules. Since the pi-electron distribution of the porphyrins is responsible for their intense visible light absorbance, alterations of porphyrin spectra upon organic ligation should be seen. This idea is consistent with the changes in ε and λ<sub>max</sub> of the Soret (400- 450 nm) band (B-band) of the porphyrins by  
16 alteration of the porphyrin side chain constituents of the porphyrin ring, as shown in Figure 1 and Table 2, and alteration of the spectral properties due to solvent polarity and hence differences in the electron distribution around the porphyrin plane (cf. Table 2).

Alteration of the spectrophotometric characteristics of porphyrins has been reported by, for example, D. Mauzerall (Biochem. 4, 1801-1810, 1965) and J. A. Shelnutt, J.A., (J. of  
21 Phys. Chem. 87, 605-616, 1983), the disclosures of which are incorporated herein by reference. In these studies aromatic heterocyclic compounds such as phenanthrolines were complexed with porphyrin molecules; changes in pi-orbital density were observed, leading to changes in visible light absorbance, fluorescence, and Raman spectra.

1 Close interaction of porphyrins and organics resulting in the quenching of porphyrin absorbance and fluorescence spectra have been reported. Further, porphyrins have been used in the shape-selective separation of aromatics and are particularly useful in the separation of fullerenes. Soret  $\lambda_{\text{max}}$  positions have been observed to change in the presence of organic polycyclics, the shift in  $\lambda_{\text{max}}$  being proportional to the energy of association with the  
6 porphyrin. This suggests that the stronger the interaction between organic and porphyrin, the greater the shift in wavelength.

The use of porphyrins and phthalocyanines as chemical sensor indicators is gaining in popularity. Wavelength shifts as colorimetric indicators have been used to sense the presence of pentachlorophenol, cysteine and histidine, and quinones. The binding of the quinone has  
11 been determined to be via multiple H-bonds between the quinone and the OH-naphthyl subgroups of the porphyrin as well as between the quinone and the COO<sup>-</sup> groups of Zn-porphyrin dinitrobenzoic acid. A gas sensor which measures CO, NO<sub>2</sub>, and H<sub>2</sub>S has been designed using metalloporphrin Langmuir-Blodgett films deposited on a field-effect transistor. Dziri, L., Bousaad, S., Tao, N. and, Leblanc, R.M. (1998) *Langmuir* 14, 4853-  
16 4859.

In related work, the photo-induced energy transfer between two porphyrins which have been co-deposited as solid film on TiO<sub>2</sub> has been measured, indicating the ability of energy transfer between porphyrins and their near (docked) neighbor. Illumination of a donor molecule elicits energy changes in the acceptor porphyrin although no electron transfer  
21 occurred, indicating that changes in one molecule elicit spectral changes in another neighboring acceptor molecule. This is similar to the recognition of specific DNA sequences using oligonucleotide-derivatized polypyrroles by voltammetry and the interaction of porphyrin-thymidine complex with DNA in which the H-bonding of the porphyryl-thymidine

1 with adenine results in an 8 nm red shift in the porphyrin Soret band.

Thus, alteration in the electron density due to interaction and/or binding with another molecule, even at the periphery of the porphyrin, results in spectroscopic changes. This is the physical basis of our porphyrin-based sensor system.

6

## Experimental Results

The majority of the data described hereinafter were obtained using a member of the class of heterocyclic compounds called the porphyrins discussed previously, the water soluble tetraphenylporphyrin sulfonic acid (TPPS). The spectrum of a typical porphyrin consists of an intense highly absorbing "Soret" or  $\gamma$ -band in the 400-450 nm region and less intense Q-

11 (or  $\beta$ -) bands in the 500-700 nm (visible light) region. Bands in the UV and NIR are present as well, and the porphyrins exhibit intense fluorescence.

There are several lines of experimental evidence that clearly indicate that alteration of the UV-VIS spectra of porphyrins takes place as a result of interaction with other (electron distorting) organics. For example, immobilization of porphyrins at a high density on a 16 surface results in red-shifted peaks and decreased  $\epsilon$  (absorptivity or extinction coefficient) due to ordered stacking of porphyrins such that the porphyrin plane is perpendicular to the surface. Additionally, the spectrum of free or (covalently or electrostatically) immobilized TPPS is altered by the presence of various chemicals including:

A) Naphthalene. Figure 1 shows the spectra of TPPS immobilized on dialysis tubing 21 (cellulose) in the presence/absence of naphthalene. Ligation of naphthalene causes a concentration-dependent loss in 412 nm absorbance (loss of TPPS) and an increase in 426 nm absorbance caused by the formation of a TPPS-naphthalene complex.

Wavelength maxima changes are also observed in the Q-bands (500-700 nm). The

1 absorbance changes are linear with the concentration of naphthalene present and  
indicates that concentrations equal to or less than 1 ppm ( $1.7\mu\text{M}$ ) naphthalene can be  
detected.

B) Benzene. Complexation of soluble TPPS with benzene causes a loss of 413 nm  
absorbance (TPPS loss) and an increase in 419 nm as TPPS is converted to a benzene-  
6 TPPS complex in a concentration-dependent manner. In addition, the Q-bands (500-  
700 nm) of TPPS in the presence of benzene are located at 517, 550, 588, and 646 nm  
compared to 517, 553, 578, and 635 nm in the absence of benzene.

The spectral changes induced by the presence of naphthalene are not the same as those  
induced by benzene. The difference spectra of organic + TPPS minus TPPS are very  
11 different for benzene and naphthalene. This indicates that organics can be distinguished from  
one another on the basis of their effect at all five (B and Q) absorbance bands. Each organic  
has its own spectral signature.

C) Amino Acids. The spectrum of TPPS is altered by the presence of methionine  
(known to bind porphyrins) and tyrosine, tryptophan, and glycine as representative  
16 amino acids. Different unique patterns of the B- and Q- spectra (wavelength shifts  
and changes in intensity) are observed for each of the 20 amino acids.

D) Proteins also induce unique spectral changes in TPPS as shown in Table 3 presented  
previously. In the presence of the protein, a new wavelength given below is observed.  
Finally, solid state immobilized porphyrin films can be used to detect the presence of

21 aromatic analytes (organics) in air. To demonstrate this statement, difference spectra may be  
obtained by first recording the spectrum of the unreacted TPPS film. A small amount ( $10 \mu\text{l}$   
or more) of liquid analyte (methanol, ethanol, etc) can then be added to the chamber and then  
the spectrum of the film again recorded at different time intervals. The spectrum of the

1 unreacted film is then subtracted from the reacted film to show the change in the spectrum  
due to exposure to the analyte.

### Specificity

As shown in Table 2, the changes in the spectrum are fairly specific for the analytes  
6 used. The utility of the porphyrins and related indicators lies in the presence of multiple  
absorbance bands and the fact that an analyte affects each of the five bands differently. This  
is clearly indicated in the difference spectra of isopropanol and formaldehyde. While all  
analytes result in an increase in absorbance at 421 nm and a decrease at 431 nm (immobilized  
TPPS has its  $\lambda_{max}$  at 431 nm), formaldehyde shows an increase in absorbance centered at 513  
11 nm while isopropanol shows an increase at 519 nm and noticeable increases at around 550  
and 580 nm. Changes at 550 and 580 nm are not as noticeable in the presence of  
formaldehyde.

Table 2 illustrates the great selectivity and specificity of analyte detection due to the  
increase in absorbance due to complex formation in the Soret. It is apparent that some  
16 analyte-porphyrin complexes have similar wavelengths in the Soret band. However, when  
absorbance as well as wavelength differences at the Soret and the Q-bands is recorded, each  
analyte is different.

If an indicator (such as porphyrin) with five bands is available and it is assumed that  
the wavelength in each band can either increase, decrease, or stay the same, and, further, that  
21 the intensity at each band can either increase, decrease, or stay the same, then there are  $5^6$  or  
over 7700 possible permutations of these factors. If the magnitude of wavelength shift or  
absorbance changes is specified (again each band is independent of the others), the number of  
potentially-detectable analytes increases dramatically.

1       The key to obtaining specificity is to use data from as many absorbance bands as possible. Small changes in wavelength or intensity are easily detected in the difference spectrum, which is discussed in below. Most analytes present a unique "signature" when all available absorbance bands are considered. An indicator with only one absorbance band has very limited utility.

6

### Sensitivity

A primary requirement for effective detection of hazardous agents is that the apparatus and method be able to detect (very) low levels of hazardous agents. Not unexpectedly, the absorbance changes that would be expected at low concentrations are rather small. However,

11 increased sensitivity of a detector formed according to the instant invention can be potentially achieved by several means. For example, different porphyrins, phthalocyanines, or indicators with higher extinction coefficients can be used. Alternatively, it is well known that, generally speaking fluorescence is a more sensitive tool than absorbance, typically by an order of magnitude or so (although the fluorescence bands are very broad compared to absorbance).

16 Thus, a small detector incorporating absorbance as well as fluorescence could increase the sensitivity of the .

Finally, increased sensitivity may be obtained by matching a particular porphyrin to the specific agent that is sought. Since the effective sensitivity is related to the extinction coefficient of the indicator used, it is possible that it might be necessary to construct

21 "designer" porphyrins with sidechain substitutions specific for a single analyte (much like specific antibodies but with five absorbance bands).

1

## The Difference Spectrum

Consider the following simple illustration. If it is assumed that an analyte shifts the  $\lambda_{\max}$  of a porphyrin from 413 to 429 nm, for example, the quantitation of the analyte from the absolute spectrum of the porphyrin and analyte will require that at least 10-20% of the porphyrin be complexed. So, if 5  $\mu$ moles of porphyrin are present on an active detector 6 surface, then 1  $\mu$ mole of analyte must bind before the effect can be directly seen in the spectrum of the porphyrin. Further, the smaller the wavelength shift, the less sensitive the quantitation and the more analyte complex must be present to be measured. Thus, detection of low concentration compounds poses a significant challenge to analytical methods that are based on direct measurement and observation of the spectrum.

11 Hence, the instant inventor has determined that it is preferable that, rather than attempting to directly detect the presence of analyte in the spectrum of the porphyrin, a mathematical operation should be performed on the spectrum to make clearer the change in that takes place when an analyte is introduced into the system of the instant invention. That is, a central precept of the instant invention is that the preferred method of identifying low 16 concentration compounds is to "continuously" monitor the detector compound and to continuously compare the current spectrum with a spectrum collected at some previous time. By comparing the spectrum at two different points in time, a self-calibrating procedure is developed that is much more sensitive than other approaches considered heretofore.

According to a first preferred embodiment, the instant method is made more sensitive 21 to low levels of analyte by utilizing a difference spectrum, where the spectrum of unreacted porphyrin (or other colorimetric compound) is subtracted from its spectrum following exposure to the analyte. As shown in Figure 1, the difference spectrum — which is computed by subtracting the spectrum of TPPS alone from the spectrum of TPPS + naphthalene —

1 resembles a "1st derivative" function. The absorbance at 413 nm decreases due to loss of TPPS when naphthalene binds and shifts the wavelength (new peak) to 419 nm. Knowing the extinction coefficient of TPPS at 413 nm allows the determination of how much TPPS is lost; this is the same amount of TPPS-naphthalene complex formed.

The presence of low levels of analyte is virtually undetectable except by monitoring

6 the change in the spectral characteristics of the detector. Unlike absolute spectra where the shape and peak wavelength of the spectral curve changes with increasing binding of analyte, the  $\lambda_{\text{max}}$  and  $\lambda_{\text{min}}$  do not change; only the absorbance changes with changes in analyte concentration. For example, in the presence of increasing benzene, the Soret absorbance band shows only small shifts to longer wavelengths since the Soret in the presence of benzene is a  
11 combination of the TPPS peak at 413 nm and the TPPS-benzene peak at 419 nm. The difference spectrum of these two spectra clearly reveals the loss of absorbance at 413 and the increase at 419 nm due to benzene complexation of only some of the TPPS present. The combination of these 2 absorbance bands results in the small wavelength shift.

The magnitude of the wavelength shift will not alter the sensitivity of the difference.

16 spectrum. The closer the wavelengths of the porphyrin and porphyrin-analyte complex, the sharper the "1st derivative" appearance of the difference spectrum and the more the change in absorbance analyte concentration. The farther apart the wavelengths, the broader the peak and trough of the difference spectrum. In all cases, the integrated area under the curve will always be proportional to analyte concentration.

21 It is important to note that the use of difference spectra to detect an analyte-indicator complex also means that the sensor and active surface do not need to be calibrated prior to use and that a partially-used sensor is still completely useful. This is so because, first, it is the time-dependent change in absorbance and not the absolute amount of indicator that is

1 monitored. The loss of TPPS or other indicator, for example, can be quantitated from its extinction coefficient, the absorbance loss being proportional to the amount of porphyrin which reacts with analyte.

Second, since difference (comparison) spectra are used, a spectrum recorded at any previous time can be subtracted from the current spectrum to yield a measure of the change

6 since the earlier reading. For example, the spectrum of the film at some arbitrary zero time could be subtracted from the spectrum five minutes later after exposure to analyte X. To determine if more analyte is present at, say, ten minutes, either the zero or five minute spectra could be subtracted. In the first case (i.e., t=0 subtracted) the total exposure after 10 minutes is measured; in the second case, only the change between t=5 and t=10 minutes is recorded.

11 If in the period between 5 and 10 minutes analyte Y binds which causes an absorbance change at a different wavelength than analyte X, the difference between the zero and ten minute spectra would show a deep trough at the TPPS peak at 413 nm and 2 peaks at the wavelengths corresponding to the TPPS-X and TPPS-Y complexes. The 10 minute minus 5 minute spectrum indicates the amount of TPPS that reacted in the 5 minute period (loss in

16 413 nm ) and the absorbance increase at the  $\lambda_{max}$  of the TPPS-Y component without interference by the TPPS-X complex formed previously. In this case, the same film can report multiple analytes. Also, the need to "calibrate" or use a fresh indicator is unnecessary since changes in the absorbance are measured regardless of the original intensity of the indicator.

21 More generally, it is contemplated by the instant inventor that any number of mathematical comparisons between the spectra and two different time periods could be used to accentuate the change occasioned by the introductions of low concentrations of the target compound. For example, in some cases taking the ratio of corresponding spectral intensities

- 1 might be useful. In other cases, ratios (or differences, products, etc.) between the squared, cubed, etc., spectral intensities might prove effective. Obviously, many more combinations might be devised by one of ordinary skill in the art. Thus, for purposes of the instant invention, the term "difference" should be interpreted broadly to include any mathematical combination of a spectra intensities at one period of time with corresponding intensities at  
6 another period.

#### Interaction Time

The spectral changes observed in porphyrins are typically completed within approximately 1 second in both soluble (free, aqueous) and immobilized porphyrins. Thus,  
11 for detection purposes, spectral measurements might be collected at least this often.

#### Response to Changing Analyte Levels

Unless it becomes "saturated", any detector will respond to increasing analyte levels. But, it is much less common to find a detector that can respond to decreasing levels of a  
16 compound. However, the instant system is one such detector.

Decrease in naphthalene and other aromatic levels in the presence of TPPS can be detected in the instant system by the change in the difference spectrum of analyte plus TPPS minus TPPS. As has been discussed previously, the trough at 413 nm represents the loss of TPPS due to the formation of the naphthalene-TPPS complex observed at 426 nm.  
21 Decreasing the naphthalene concentration by allowing naphthalene evaporation from the solution results in a decrease in the "depth" of the trough at 413 nm as the analyte complex dissociates. Thus, the spectrum reflects a decrease as well as an increase in analyte level. The instant inventor has also shown that removal of analytes from the vicinity of immobilized

- 1 TPPS surfaces can result in a return to the pre-exposure spectrum of TPPS; the analyte can dissociate from the porphyrin, thereby "regenerating" the indicator material.

### Using Immobilized Proteins as Detectors

Methods for immobilizing proteins on solid substrate are well known to those of

- 6 ordinary skill in the art. For example, antibodies and proteins are immobilized onto 96-well ELISA plates either electrostatically or covalently using commercially-available products from Corning Costar or Xenobond (Saddle Brook, NJ). Affinity columns are routinely made by covalent linkage of proteins to column matrices such as CN-Br activated Sepharose (Pharmacia) and have even been used by this investigator to separate organelles.

- 11 Enzymes have been immobilized onto electrode surfaces or films used in their assembly, just as used in a Clark oxygen electrode. As in the case of immobilization for ELISA, preservation of the enzyme structure and catalytic ability is desirable and imparted by the immobilization. Others have immobilized octopine dehydrogenase and pyruvate oxidase to cellulose triacetate (chitosan) using glutaraldehyde to make an octopine sensor (senses
- 16 Oxygen uptake via Clark electrode); nucleoside phosphorylase and xanthine oxidase to chitosan to make a phosphate, inosine, and hypoxanthine biosensor sensor; and ornithine carbamyl transferase and nucleoside phosphorylase to cellulose triacetate using glutaraldehyde. Still others have immobilized phosphotriesterase (OP hydrolase) to polyurethane polymer using isocyanate cross-linking to generate a protein-filled "sponge"
- 21 used for nerve gas decontamination form surfaces. It is also possible to immobilize organophosphate hydrolase, acetylcholine esterase, and choline oxidase onto activated silica gel using glutaraldehyde to produce a biosensor to detect OPs like paraoxon; this sensor measures the production of colored product from the breakdown of an analog of

1 acetylcholine. Additionally, it is known that it is possible to cross-link cholinenoxydase and AChE onto a Pt-electrode to fabricate a sensor to detect the OP dichlorvos (dimethyl 2,2'-dichlorovinyl phosphate). In summary, the enzymes affected by with OPs can be immobilized and cross-linked in a variety of ways without loss of specificity or activity and with increased stability and active life.

6

#### Using Porphyrins to Detect Conformational Changes in Proteins

It is well known that boiling denatures proteins. As seen in Figure 2, in the presence of native glucose oxidase, only a small decrease in absorbance at 412.5 nm is observed. In the presence of boiled glucose oxidase, the absorbance decrease at 412.5 nm is larger and a

11 new absorbance peak at 423 nm is observed (not observed in "normal" glucose oxidase). If TPPS were to experience the same environments in boiled as in native glucose oxidase, there would be no difference in the spectra. A change in spectrum indicates that the porphyrin TPPS reflects the change in conformation of the protein caused by boiling.

Trypsin, like AChE, has a serine at the active site that reacts with substrate. Trypsin  
16 is inhibited by a small 6 kilodalton protein that, similar to the action of OP with AChE, binds at the active site and prevents the binding of substrate. The binding of the inhibitor to trypsin alters the spectrum of TPPS, as seen in Figure 3. Trypsin alone causes a decrease in absorbance at 411.8 nm as TPPS reacts with the protein and absorbs light at a new wavelength 421.5 nm due to interaction of TPPS with a region of the protein. The inhibitor  
21 alone causes the appearance of a peak at 424.7 nm. In the presence of both trypsin and its inhibitor, the absorbance increase is seen at 421.9 nm.

Another example of inhibitor-induced conformational change detected by TPPS is seen in the enzyme glucosidase (which breaks down sugars similar to lactose) and its

1 competitive inhibitor gluconolactone. In the absence of gluconolactone, the wavelength of TPPS is shifted to 423 nm by glucosidase (Figure 4); in the presence of gluconolactone and glucosidase, the wavelength maximum is seen at 425 nm and a loss in 515 nm absorbance (another absorbance band of TPPS) is also seen. In addition, the absorbance changes are significantly larger in the inhibited enzyme.

6 Based on the forgoing, the instant inventor contemplates that the presence of organophosphates and other nerve agents (inhibitors of AChE) including VX, GA, GB, etc., can be detected as a class of nerve agents from the conformational changes induced by the binding of the inhibitor to AchE, organophosphate hydrolase (binds OP and catalyzes its hydrolytic breakdown), or other enzymes/proteins. Nerve agents such as VX are expected to

11 bind at the active site, and like paraoxon, induce a conformational change in AChE or OP hydrolase. These conformational changes can be detected by several techniques, as indicated earlier, as well as via the following:

- 1) the intrinsic fluorescence of tryptophan residues in the enzyme might change if/when the hydrophobic/hydrophilic environment of the tryptophan changes as the

16 conformation of the protein changes; and

- 2) changes in the absorbance and fluorescence of molecules such as porphyrins whose absorbance as well as fluorescence spectra changes as the conformation of the enzyme changes.

In general, the spectrum of porphyrins is unaffected by inorganic molecules like salts

21 unless they induce "stacking" or aggregation (both of which alter the absorbance characteristics and reactive properties of the porphyrin). Preferably, a porphyrin that partitions itself into a region of a protein whose hydrophilic or hydrophobic nature changes as the substrate or inhibitor (better) binds is used as an indicator of conformational change. This

1 may require the use of a porphyrin with different characteristics than TPPS.

Similar detection can be used to detect the presence of inhibitors/substrates/etc of other enzymes and proteins as well.

Thus, a key aspect of the instant invention is the detection of the presence of cholinesterase inhibitors, nerve agents, organophosphates, etc by their binding to the protein

6 acetylcholine esterase or other related ("serine esterase/hydrolase/protease" with a serine in the active site) enzymes, altering the conformational change of the protein. Instead of measuring the loss of enzymatic activity of acetylcholine esterase or serum cholinesterase as is usually done, change in conformation of the enzyme by any inhibitor (hence, broad spectrum) is measured. The measurement involves the use of a "reporter" molecule such as a

11 porphyrin whose absorbance and/or fluorescence spectrum changes as its immediate environment changes. This is a non-specific detector of any cholinesterase inhibitor that alters the conformation of the enzyme. The specificity of the detection of only nerve agents relies on the inherent specificity of acetylcholine esterase and OP hydrolases to only bind these agents or substrate in the active site to the exclusion of other molecules.

16 A preferred field of application of the instant methods is for use with detection of chemical warfare agents. Of course, it is impossible in most instances to determine the response of the instant invention to lethal chemicals of these sorts. However, it is possible to verify the utility of the methods disclosed herein through the use of analogues (simulants) of organophosphate and sulfur-mustard compounds. For example, the absorbance and

21 fluorescence changes of soluble and immobilized porphyrins and other colorimetric indicators can be measured in the presence of simulant compounds such as DIMP, DMMP, MPA, malathion, parathion (to simulate organo-phosphate agents such as Sarin or VX) and imidazole, methionine, thiidiethanol, cysteine, and other sulfur-containing organic molecules

- 1 (to simulate mustards). Further, the absorbance/fluorescence changes of porphyrins or other colorimetric compounds associated/incorporated with acetylcholine esterase, OP hydrolase, or other model proteins such as "serine esterases" may be used to simulate the conformational changes in the protein induced by binding of nerve agent/inhibitor.

6

### Preferred Embodiments

When the instant invention is used in practice, the spectral measurements might come from either absorbance, fluorescence, or reflectance spectra. Where absorbance spectra are used, the preferred range of light within which measurements will be taken is the 200-900 nm (UV-VIS) range (suitable at least for chemical warfare agents). Further, it is preferable that a

- 11 either a dual wavelength or dual beam instrument (and procedures) be used. In the preferred embodiment, dual beam spectroscopy is performed using a Cary 4E UV-VIS instrument.

When dual wavelength spectroscopy is to be used, a conventional dual wavelength spectrophotometer would be a suitable instrument. Dual wavelength spectroscopy is ideally suited to measuring absorbance spectra of highly scattering turbid samples and for

- 16 spectroscopic measurement via fiber optics. Since light is scattered by turbid samples, the detector tends to see the "scatter" as "absorbance" (decrease in light intensity). Further, since shorter wavelengths of light are scattered more than are longer wavelengths, the spectrum of a turbid sample in a dual beam instrument using water or air as reference has a non-flat baseline, making data interpretation difficult if not impossible.

- 21 In dual wavelength spectroscopy, two wavelengths of light alternately illuminate the sample, one wavelength being designated as a reference wavelength. Thus, a reference material need not be used. Of course, a reference wavelength should be chosen so as to not coincide with an absorbance peak of the sample. The absorbance of the reference wavelength

- 1 is the "reference" signal of the system and includes light losses due to the material of the samples as well as the optical system (including cuvettes, holders, optical fibers, etc). Dual wavelength spectroscopy is the technology of choice when it is necessary to measure reflectance spectra, evanescent wave spectra, fiber optically-coupled samples, or solid/suspension/films/slurries, etc. (i.e., high scatter or variable samples, e.g., stirred).
- 6       Fluorescence spectroscopy is also suitable for use with the instant invention. Of course, it is based on different principles than absorbance spectroscopy. As is well known to those of ordinary skill in the art, in fluorescence spectroscopy a photon of light is absorbed and then emitted, the emitted photon having a longer wavelength than the photon absorbed. The time interval between absorbance and emission differentiates fluorescence from
- 11 phosphorescence. The emitted photon is of lower energy (longer wavelength), the wavelength of emitted light being dictated by the energy levels of the electrons of the material.

#### Spectral Deconvolution of Multiple Peaks

- 16       The presence of more than one analyte (a mixture) can be detected via the instant methods since it is quite unlikely that the analytes will have the same spectral signature. For example, if the sample is a mixture of naphthalene and benzene, a loss would be seen at 413 and a gain at 426 nm due to naphthalene and a loss at 413 and a gain at 419 due to benzene. If the monitoring instrument can optically/spectral resolve these 419 and 426 nm peaks, a
- 21 trough would be seen at 413 nm and peaks at 419 and 426 nm. If the peaks cannot be resolved, a peak and a "shoulder" may be seen (which analyte is the peak and which is the shoulder depends on their relative concentrations). However, both the  $\lambda_{max}$  and absorbance of each peak can be determined accurately by calculating the 2nd derivative of the spectra. The

- 1 wavelengths of the peaks will show up as troughs and the depth of the trough is proportional to absorbance which is proportional to concentration.

Spectra having multiple peaks can be manipulated using software such as Grams/32

(Galactic Industries) for subtractions, smoothing, etc. Spectra, including the 2nd and 4th derivatives or other mathematical manipulations thereof, can be performed using any number

- 6 of available software products to determine the wavelengths of peaks and troughs and the integrated area under each curve.

#### Linkage of Detectors to Solid Substrates

Porphyrins and proteins are covalently bound to solid matrices as described earlier.

- 11 The instant inventor has found three preferred approaches to immobilization that have yielded a reactive porphyrin surface. The first two methods can be used to immobilize proteins.

First, amino-TPPS can be covalently linked to activated Sepharose-CH beads with a 9-atom spacer (Pharmacia) according to manufacturer's directions. One gram of beads is capable of binding 50  $\mu$ moles of ligand.

- 16 Second, amino-TPPS is bound to polystyrene microtiter plates and microscope slides (Xenobind from Xenopore, Saddle Brook, NJ) that exhibit spectral changes on metal binding and pH changes.

Finally, TPPS can be covalently linked to dialysis tubing by drying TPPS onto the tubing. The films are washed with 1 M NaCl. The TPPS that is left bound is not removed by

21 acid/base or salt treatment. The absorbance of the bound TPPS is small, but perfectly suited for detection of low levels of analyte using difference spectra as described previously.

1

## Preferred Apparatus

- Although the instant invention might be embodied in many forms: Figures 5, 6, and 7 contain some presently preferred arrangements. The optical detector of the instant invention consists of several elements. First, there is a light source that can generate a single wavelength of light (e.g., a laser) or more than one wavelength of light (e.g., an LED or lamp 6 with / without filters) that illuminates a detector surface of the type described previously. The light may be shown directly onto the surface or transmitted there via some media such as optical fibers (e.g., Figure 7). Further, the wavelength of the incident light can be varied (e.g., continuously) through different wavelengths and / or "scanned" across the material so that different wavelengths of light are sequentially striking the material.
- 11 The detector material absorbs different of the wavelengths of light falling on it, which produces an absorbance spectrum. The light not absorbed by the material is transmitted to a detector for measurement. Again, the light reflected by the detector material can be directly sensed by a detector or transmitted to a remote detector.

- The change in light absorbance by the detector surface material can be measured via a 16 conventional spectrophotometer, where the incident light is scanned through many wavelengths and the amount of light is measured at each of these frequencies to yield a spectrum, similar to that shown in Figures 1 to 4. The scanning spectrophotometers can be large bench-based units, "cards" that fit into PC's and connect to the detector surface material via fiber optics, or via laptop and even smaller computers (such as those made of Ocean 21 Optics).

Alternatively, the absorbance at one or two (or, preferably only a few) wavelengths of light can be used to detect the presence of one or a few analytes. For example, the presence of napthalene causes the porphyrin TPPS to lose its absorbance at 413 nm and to gain

1 absorbance instead at 426 nm. In the presence of benzene, the absorbance increases at 419  
nm; different analytes absorb at different wavelengths. To determine the amount of  
naphthalene present, only the absorbance decrease at 413 nm and increase at 426 nm need be  
measured.

Figure 5 diagrams one possible arrangement of a preferred detector. The light source  
6 should be capable of at least emitting light in the general wavelength range shown in Table 2.  
In the preferred embodiment, the light source will be an LED or other broad band light  
source, but it is certainly possible that the monochromatic lights sources such as lasers could  
be used instead. What is important, of course, is that the light source irradiate the  
photodetection surface with wave lengths of light that at least interact with the specific bands  
11 of interest. Continuing with the illustration of the begun previously, the light source should  
include both 413 nm and 426 nm light.

As is illustrated in Figure 5, the light will strike two photodiodes / phototransistors /  
photodetectors that are preferably fitted with narrow filters to admit only light at the specific  
frequencies of interest, i.e., 413 +/- 3 nm and 426 +/- 3-5 nm, respectively, for purposes of the  
16 instant example. The colorimetric detector material surface whose wavelength changes upon  
reaction with the desired analyte is preferably placed between the LED and the detectors.  
Alternatively, the LED light can be reflected (bounced off) the material onto the detectors  
(i.e., a reflectance spectra will be obtained; the same wavelengths of light will be absorbed as  
in the "head on" configuration.)

21 The output current or voltage — one can be converted to the other — of the detector is  
proportional to the intensity of the light striking it. Thus, in the present example, the output  
of the "413" detector decreases and the output of the "426" detector increase as naphthalene  
binds to TPPS.

1 A simple subtraction circuit using, for example, an operational amplifier (or "OP amp", Figure 5) is a preferred apparatus for analyzing the voltage difference between the two signals; the subtraction of a negative voltage ("413") from a positive voltage ("426") resulting in an effective addition of the two voltage current changes. This arrangement also helps prevent "false" readings. The circuitry can be devised to read or detect only when the output  
6 of the one detector goes down and the other goes up. This is a "coincidence" circuit, as both events must occur for the change to be registered. The loss of absorbance because of an increase in light or the gain in absorbance due to clouding or mud (or whatever) is not recorded. For purposes of the instant disclosure, the term "comparator" will be taken to include not only special purpose hardware for comparing signals (such as the differencing  
11 units utilized in the preferred embodiment), but also will be taken to encompass hardware/software combinations that allow for more elaborate comparison schemes than differences (e.g., ratios of signals, general linear combinations of signals, products, etc.), whether the operation is performed on an analog or a digital signal.

The output from the optical detectors is conventionally a voltage, with the voltage  
16 being proportional to intensity of light in the wavelength monitored which, in turn, is proportional to the amount of analyte that interacts with the detector surface.

In the case of a conformational detection system, the loss of the 413 nm peak of TPPS and an increase at some other wavelength(s) will be recorded as a nerve agent encounters the photodetector surface. Use of a proper filter on the detector allows only the wavelengths of  
21 interest to be measured. If a filter is used that passes light from, say, 420 to 430 nm, the wavelengths changes initiated by multiple analyte interactions can be recorded. Unlike the case of measuring naphthalene vs. benzene using TPPS where the wavelengths are specific, it is also possible to measure a change of TPPS absorbance (or fluorescence) as the enzyme

1 conformation changes at whatever wavelength; the specificity is not in the particular wavelength measured. The specificity is introduced into the instant system through the fact that the enzyme that will only bind specific inhibitors or substrates.

Figure 6 is a diagram of a fluorescence-based detector where the TPPS absorbs light and emits light at, say, 650 nm. When the protein shape changes (as is schematically illustrated in Figure 8) and the TPPS is altered, the fluorescence wavelengths are also altered to, say, 690 nm. Thus, the intensity of the 650 nm fluorescence and the fluorescence intensity at 690 nm increases. The circuitry is the same and the additive changes are similar. In the preferred embodiment, the 650 nm and 690 nm detectors are created by placing narrow band optical filters of corresponding wavelengths ahead of broader band photodetectors.

11

### Conclusions

For purposes of the instant invention a colorimetric molecule, indicator, or agent should be interpreted in its broadest sense to include a chemical compound which changes its color, absorbance spectrum, fluorescence spectrum, reflectance spectrum, and/or its fluorescence and polarization properties upon binding of or interaction with another molecule or atom. This term also encompasses those molecules whose spectral properties change upon chemical oxidation or reduction. For purposes of this disclosure, the colorimetric "indicator" can be a colorimetric compound/molecule incorporated into another molecule such as protein, DNA, RNA, nucleic acid, amino acid, peptide, etc.

It should further be noted that, although the previous discussion has principally been concerned with the real-time differencing of spectral intensities using special purpose signal processing hardware, the instant invention would work in exactly the same fashion if the differencing were performed digitally. More specifically, an analog-to-digital conversion of

1 the detected spectral intensity signals can be performed as the information is collected, with  
the digital output being sent to a microprocessor or a general purpose computer (collectively a  
"microprocessor", hereinafter) for subsequent digital manipulation. Of course, one advantage  
of this arrangement is that any mathematical operation — not just differencing — could be  
used to combine the information from the most recently collected spectral values with those  
6 collected earlier.

Additionally, in the preferred embodiment the light source will contain a plurality of  
light frequencies therein. Of course, those skilled in the art will recognize that, rather than  
using a single broad-band light source, instead two (or more depending on the application)  
narrower sources could be used instead.

11 Finally, the term "real-time" as used herein to describe the instant data collection  
system should be interpreted to include times up to about one-minute or so. In general,  
though, the invention is designed to give measure and give feedback on the order of a every  
few seconds.

While the inventive device has been described and illustrated herein by reference to certain  
16 preferred embodiments in relation to the drawings attached hereto, various changes and  
further modifications, apart from those shown or suggested herein, may be made therein by  
those skilled in the art, without departing from the spirit of the inventive concept, the scope of  
which is to be determined by the following claims.

1

**THE CLAIMS****WHAT IS CLAIMED IS:**

1. An apparatus for the real-time detection of the presence of an analyte, wherein is provided a sample, comprising:
  - (a) a colorimetric indicator, said colorimetric indicator being positionable to be exposed to said sample;
  - (b) at least one light source positionable to irradiate at least a portion of said colorimetric indicator, said at least one light source emitting at least two different frequencies of light when activated;
  - (c) a first detector positionable to sense at least a portion of said irradiated portion of said colorimetric indicator, said first detector generating a first signal representative of a light intensity at a first frequency of light emitted by said at least one light source;
  - (d) a second detector positionable to sense at least a portion of said irradiated portion of said colorimetric indicator, said second detector generating a second signal representative of a light intensity at a second frequency of light emitted by said at least one light source; and,
  - (e) a signal comparator in communication with said first detector and said second detector, said signal comparator for comparing said first and said second signals, said signal comparator generating a comparison signal based on a comparison of said first and said second detector signals, and, said comparison signal being used to determine when said analyte is present within said sample.

6

11

16

21

- 1 2. An apparatus according to Claim 1, wherein said colorimetric indicator is a porphyrin.
3. An apparatus according to Claim 2, wherein said colorimetric indicator is incorporated into a specific binding protein.
- 6 4. An apparatus according to Claim 2, wherein said porphyrin is immobilized on a surface.
5. An apparatus according to Claim 4, wherein said surface is dialysis tubing material.
- 11 6. An apparatus according to Claim 1, wherein said signal comparator is an OP amp.
7. An apparatus according to Claim 1, wherein said signal comparator calculates a difference between said first signal and said second signal.
- 16 8. An apparatus according to Claim 1, wherein said signal comparator includes:
  - (e1) an analog-to-digital converter in communication with said first signal and second signal, said analog-to-digital converter converting said first signal and said second signal to a first digital signal and a second digital signal,
  - 21 (e2) a microprocessor in communication with analog-to-digital converter, said microprocessor mathematically combining said first digital signal and said second digital signal to produce a comparison signal.

- 1 9. A method of real-time testing for the presence of an analyte, wherein is provided a sample, comprising the steps of:
- (a) providing a colorimetric indicator;
  - (b) exposing said colorimetric indicator to said sample;
  - (c) irradiating said colorimetric indicator with at least one light source, said at least one light source irradiating said colorimetric indicator with a plurality of wavelengths of light;
  - (d) sensing said irradiated colorimetric indicator with a first detector, said first detector generating a first signal representative of an intensity of a first wavelength of light;
  - 11 (e) sensing said irradiated colorimetric indicator with a second detector, said second detector generating a second signal representative of an intensity of a second wavelength of light;
  - (f) comparing said first signal and said second to determine when said analyte compound is present within said sample.
- 16
10. A method of real-time testing for the presence of an analyte, wherein is provided a sample, comprising the steps of:
- (a) obtaining a colorimetric indicator that has been incorporated into a specific binding protein;
  - 21 (b) exposing said colorimetric indicator and said specific binding protein to said sample;
  - (c) determining whether a conformational change has occurred in said specific

- 1 binding protein by measuring a spectral value of said colorimetric indicator;  
and,
- (d) determining from any spectral value so measured whether or not said analyte  
is present within said sample.
- 6 11. A method according to Claim 10, wherein step (a) includes the step of immobilizing  
said colorimetric indicator and said specific binding protein on a surface.
12. A method of real-time testing for the presence of an analyte, wherein is provided a  
sample, comprising the steps of:
- 11 (a) obtaining a colorimetric indicator;
- (b) immobilizing said colorimetric indicator on a surface;
- (c) irradiating said colorimetric indicator with at least one wavelength of light;
- (d) obtaining a first spectral value associated with at least one of said at least one  
wavelengths of light;
- 16 (d) exposing said colorimetric indicator to said sample;
- (e) obtaining a second spectral value associated with at least one of said at least  
one wavelengths of light, said second spectral value being obtained after said  
exposure of said colorimetric indicator to said sample;
- (f) comparing said first spectral value and said second spectral value; and,
- 21 (g) determining from said comparison whether or not said analyte is present in  
said sample.
13. A method according to Claim 12, wherein said colorimetric indicator is a porphyrin.

- 1 14. A method according to Claim 14, wherein said porphyrin is TPPS.
15. A method according to Claim 13, wherein is provided a solution containing said colorimetric indicator, and wherein the step of immobilizing said colorimetric indicator on a surface includes the steps of:
- 6 (b1) apply said solution containing colorimetric indicator to a section of dialysis tubing material;
- (b2) drying said solution containing colorimetric indicator onto said section of dialysis tubing material; and,
- (b3) washing said section of dialysis tubing material to remove unbound colorimetric indicator.
- 11
16. A method of immobilizing a porphyrin, wherein is provided a solution containing said porphyrin, comprising the steps of:
- (a) apply said solution containing porphyrin to a section of dialysis tubing material;
- 16 (b) drying said porphyrin solution onto said section of dialysis tubing material; and,
- (b) washing said section of dialysis tubing material to remove unbound porphyrin.
- 21 17. A method of immobilizing a porphyrin according to Claim 16, wherein said step of washing said section of dialysis tubing material includes the step of washing said dialysis tubing material with a solution of sodium chloride.

1 18. A method of immobilizing a porphyrin according to Claim 16, wherein said step of  
washing said section of dialysis tubing material includes the step of washing said dialysis  
tubing material with an acidic solution.

6 19. A method of immobilizing a porphyrin according to Claim 16, wherein said step of  
washing said section of dialysis tubing material includes the step of washing said  
dialysis tubing material with an basic solution.

11 20. A method according to Claim 10, wherein step (c) includes the steps of:

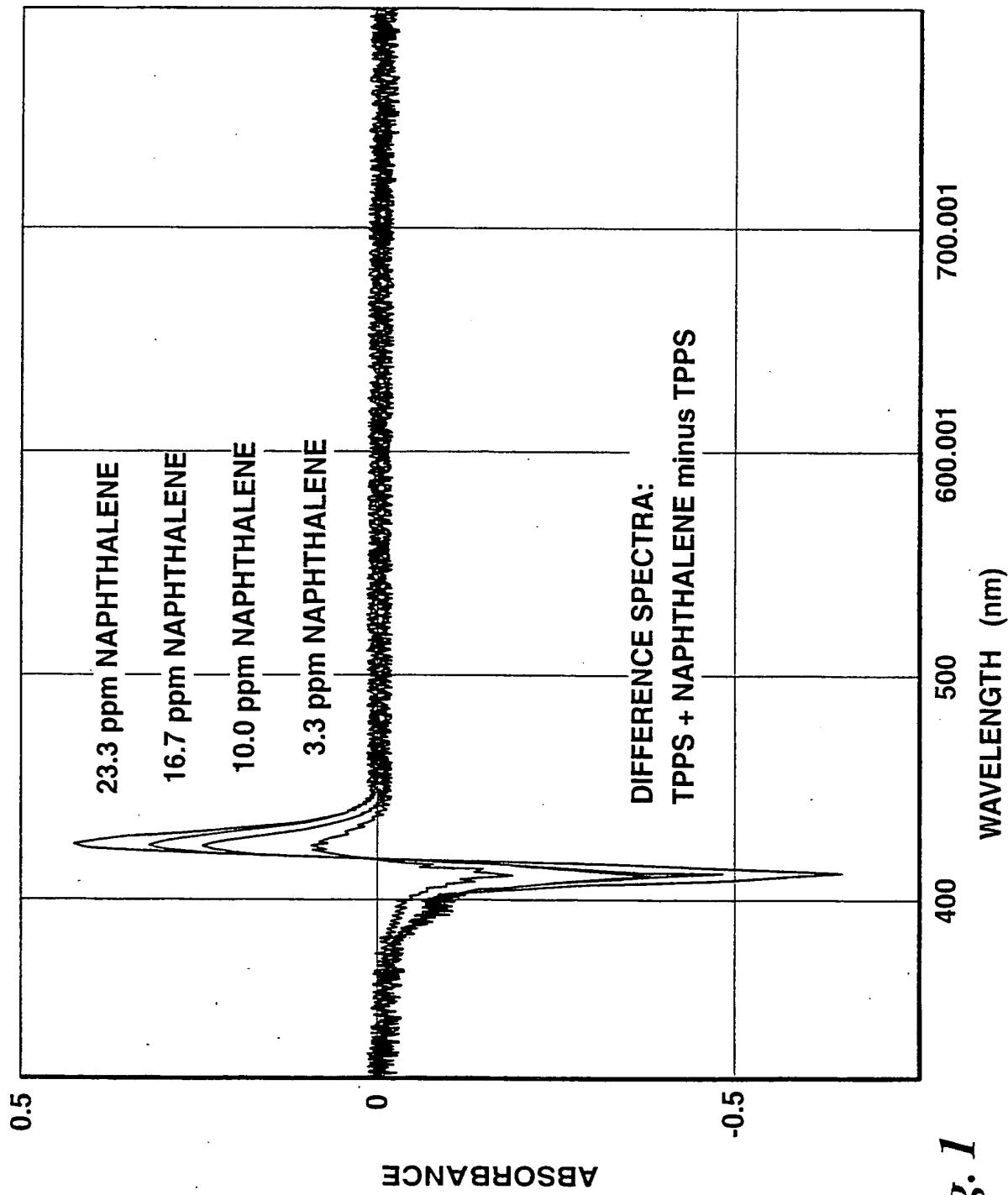
- (c1) sensing a first spectral value of said colorimetric indicator at a first wavelength  
of light;
- (c2) sensing a second spectral value of said colorimetric indicator at a second  
wavelength of light;
- (c3) comparing said first spectral value and said second spectral value, thereby  
determining whether a conformational change has occurred.

16

21 21. A method according to Claim 21, wherein step (c3) includes the step of  
mathematically combining said first and said second spectral value.

21 22. A method according to Claim 22, wherein the step of mathematically combining said  
first and said second spectral values includes the step of differencing said first and  
said second spectral values.

THIS PAGE BLANK (USG10)



*Fig. 1*

THIS PAGE BLANK (USPS)

2/7

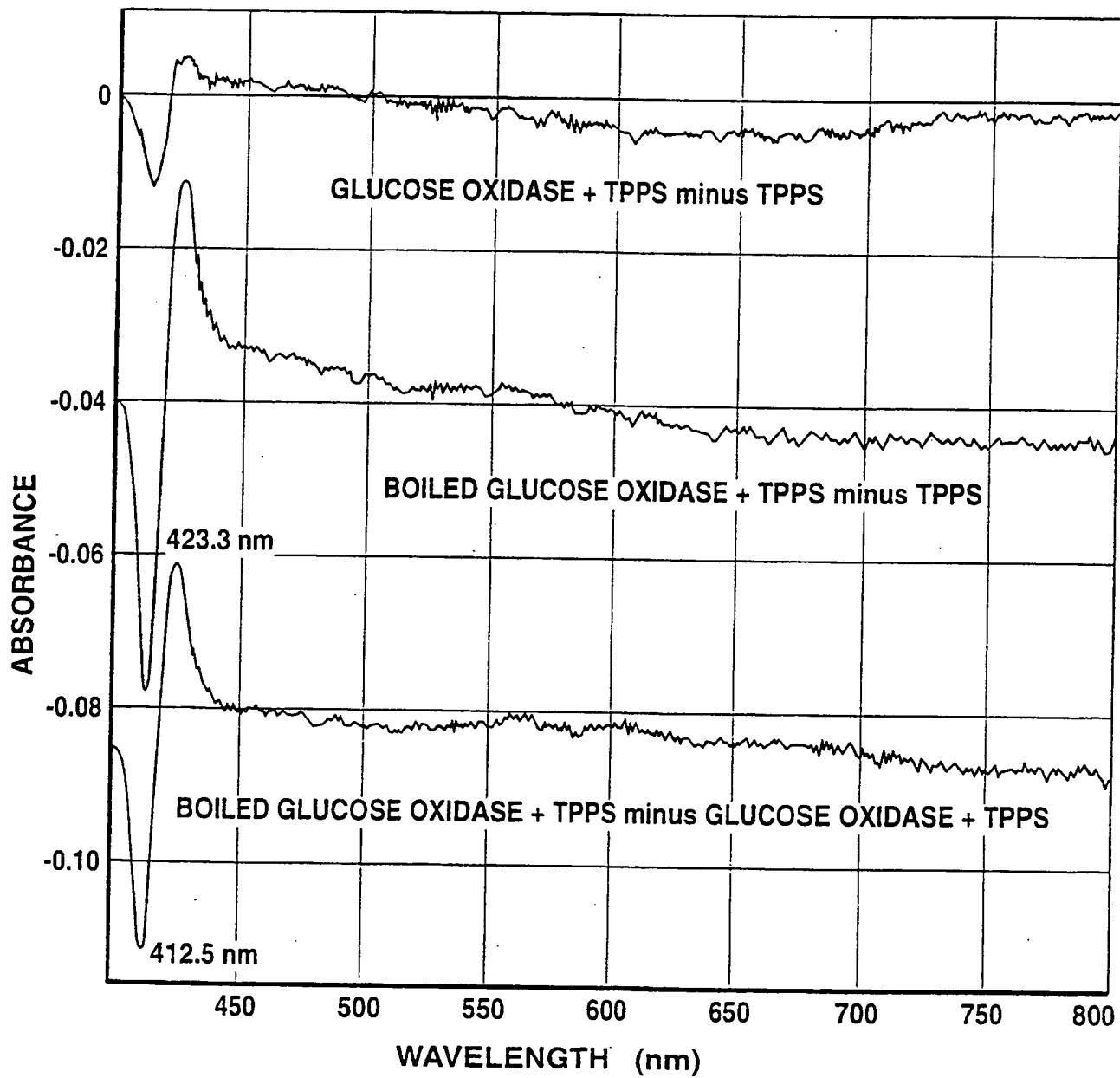


Fig. 2

THIS PAGE BLANK (USPTO)

3 / 7

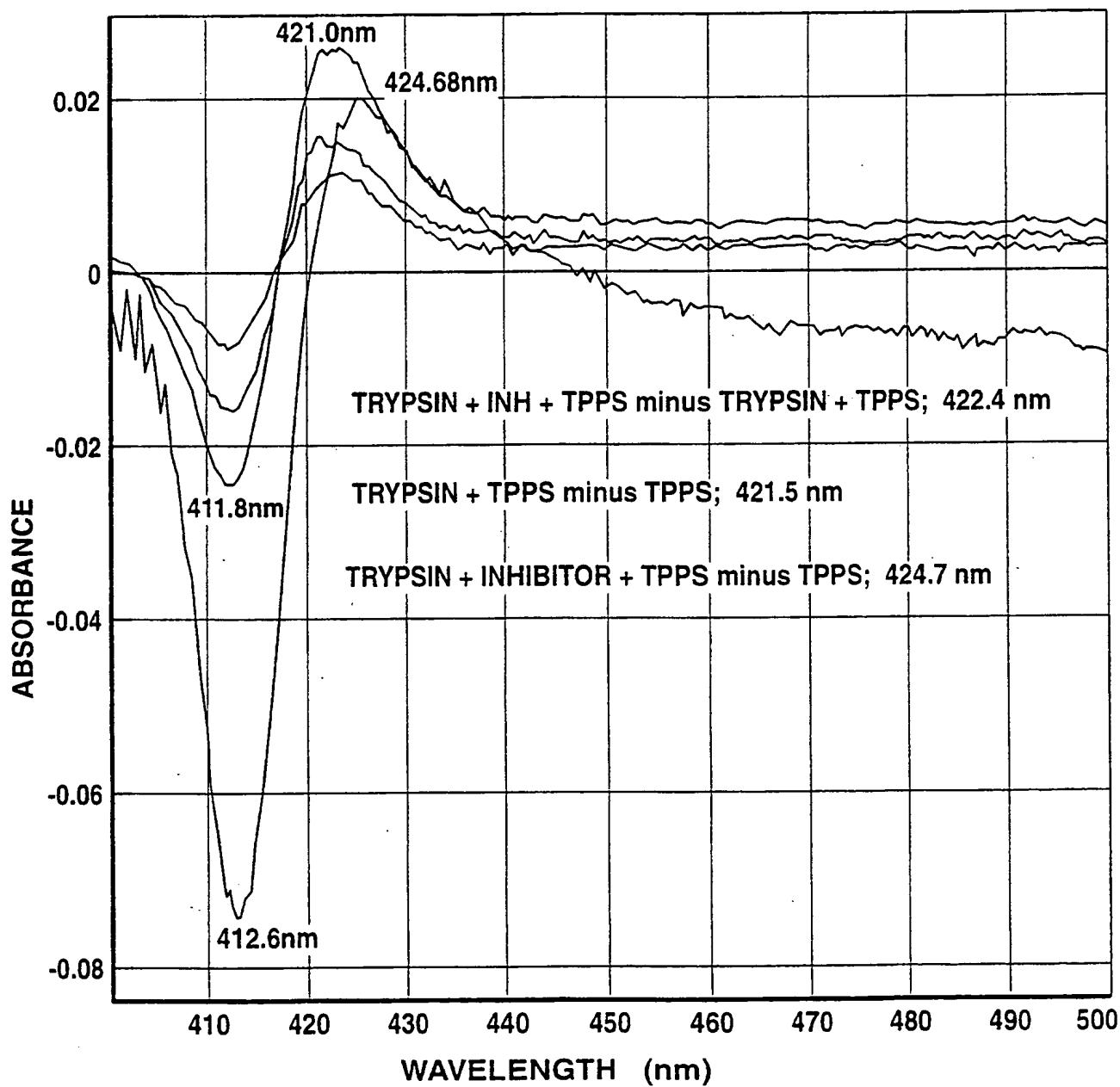


Fig. 3

THIS PAGE BLANK (USGTO)

4/7

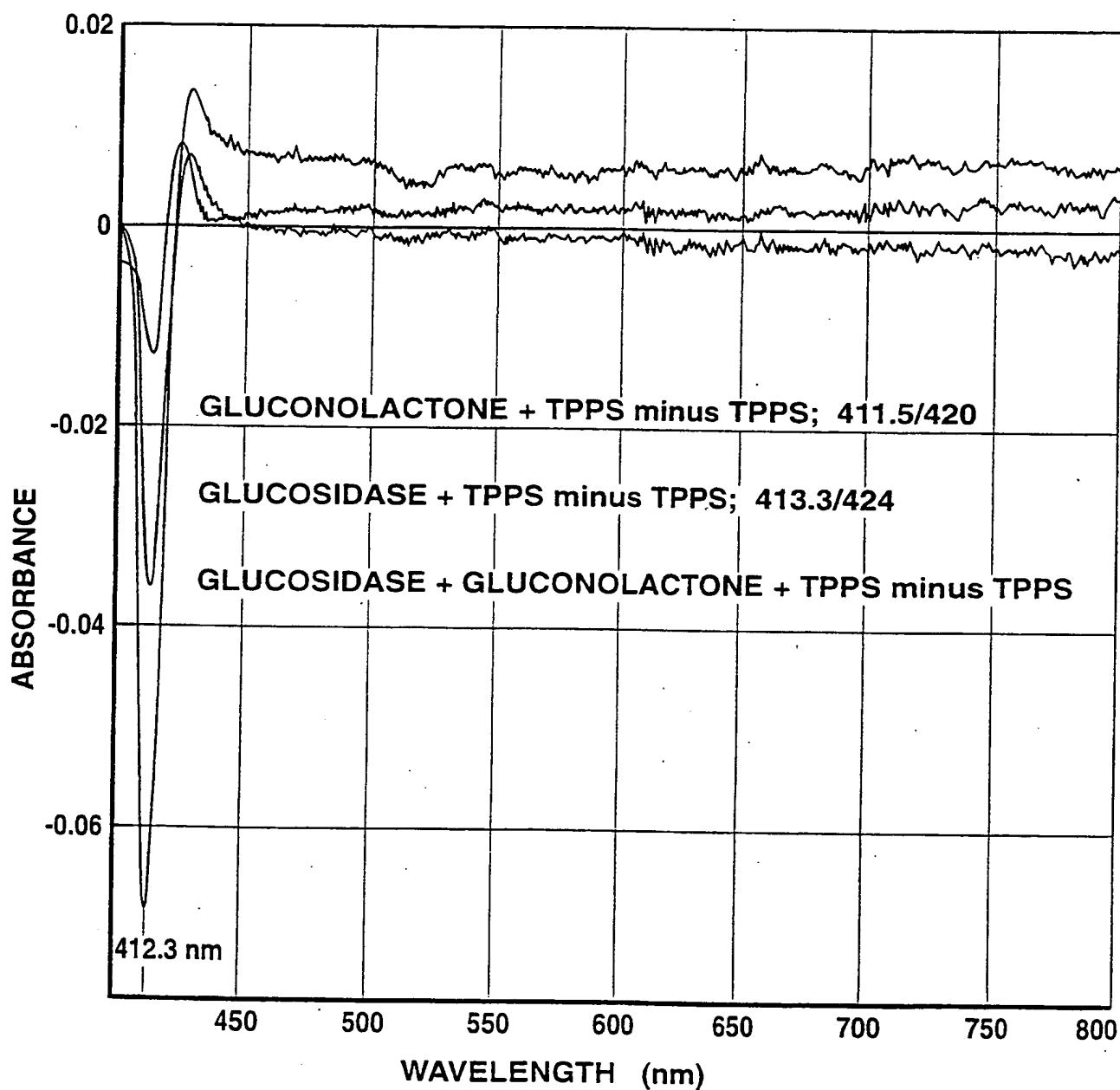
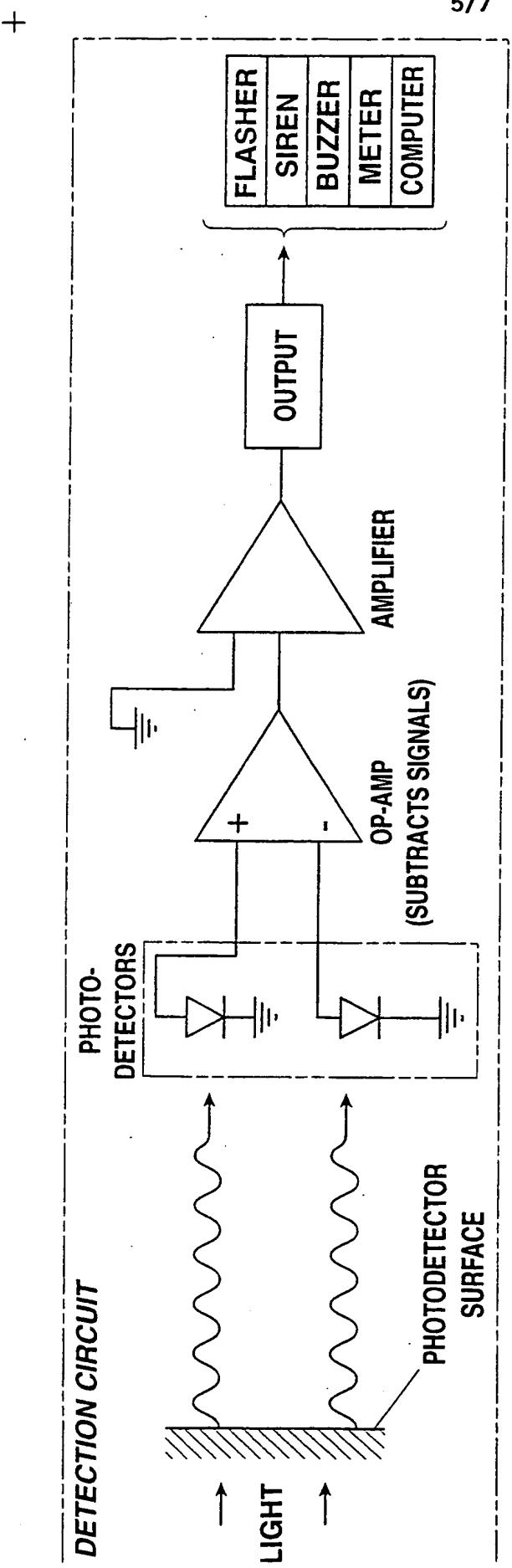
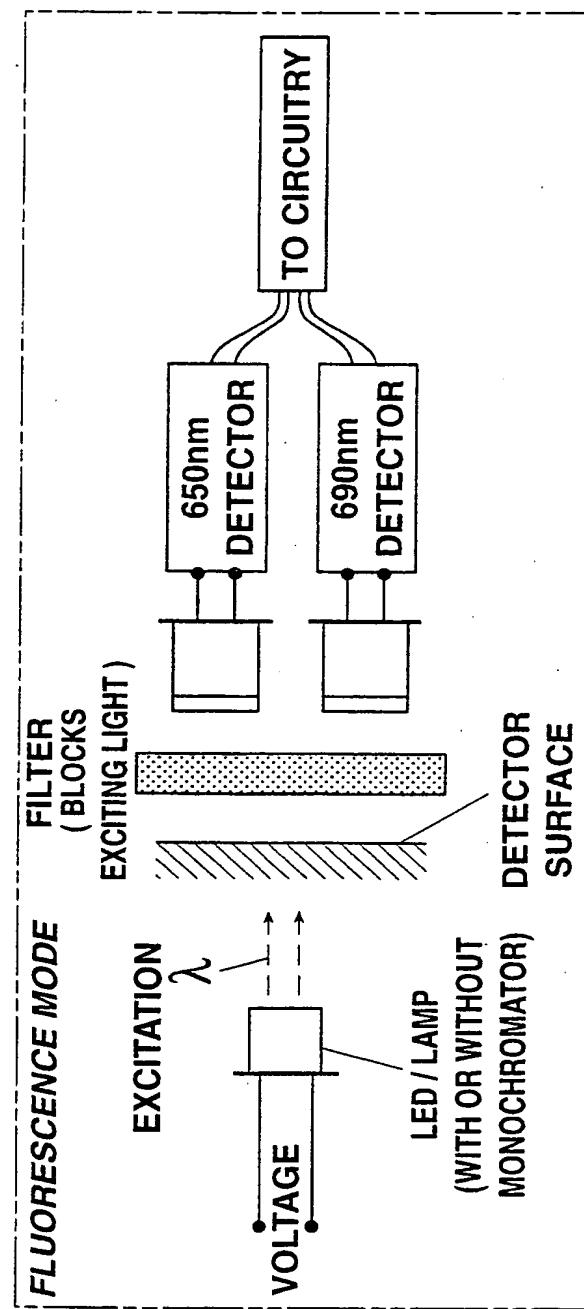


Fig. 4

THIS PAGE BLANK (USPTO)

*Fig. 5**Fig. 6*

THIS PAGE BLANK (USPTO)

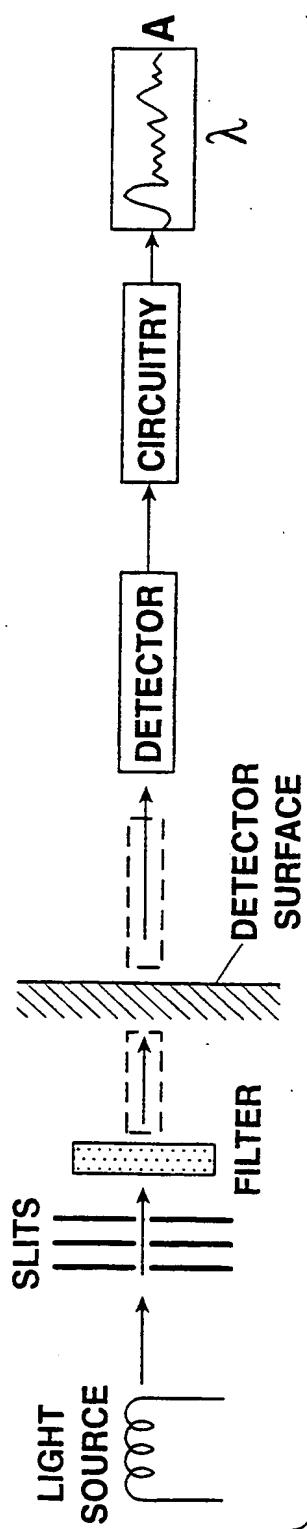


Fig. 7A

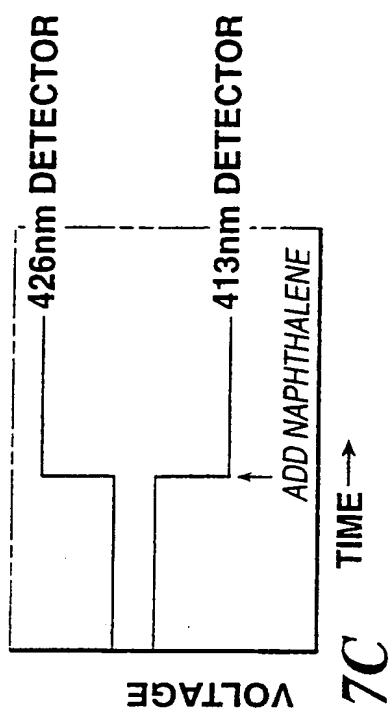


Fig. 7C

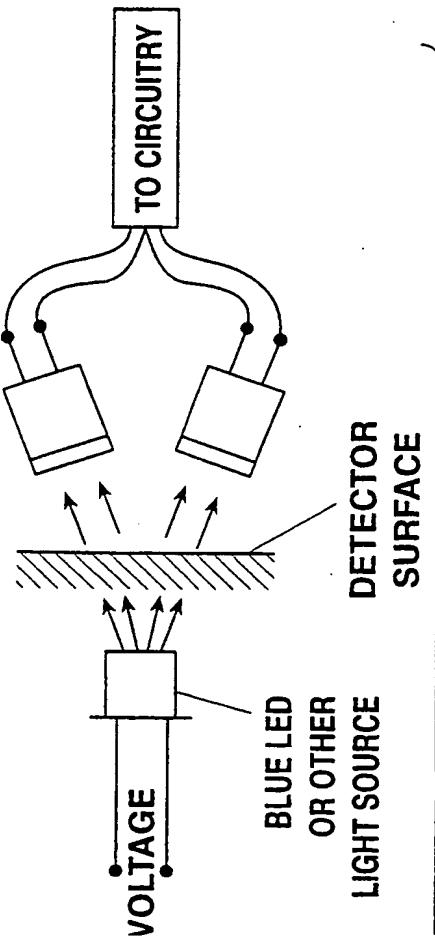


Fig. 7B

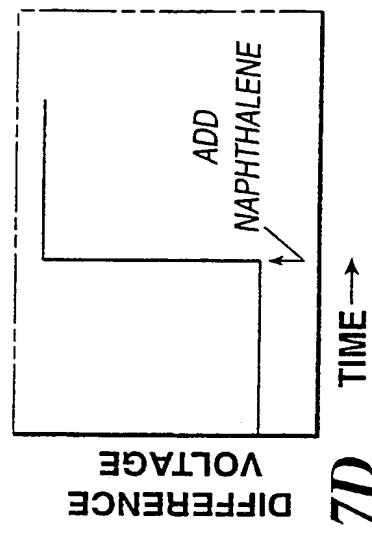
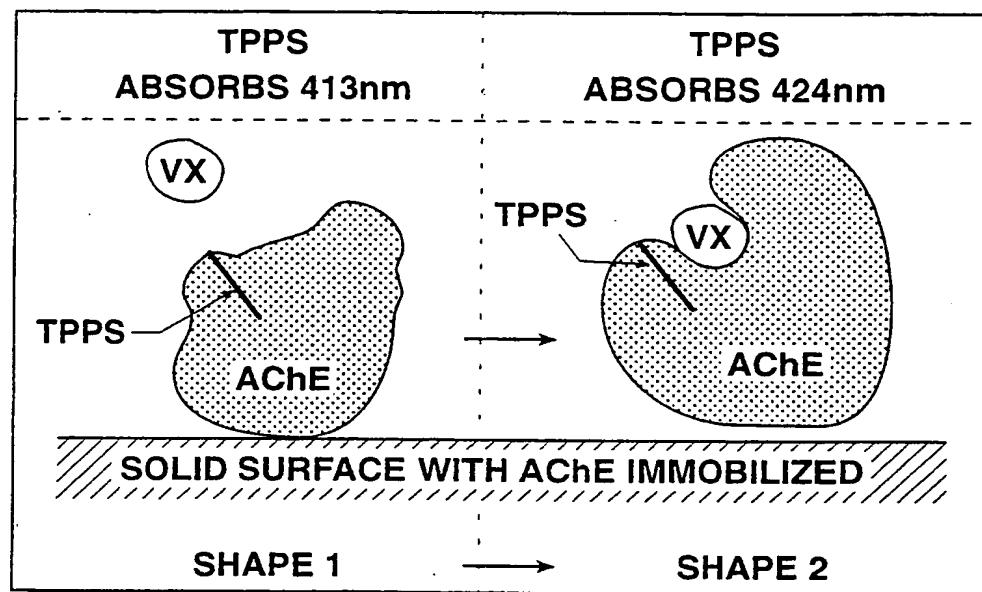


Fig. 7D

THIS PAGE BLANK (USPTO)

7 / 7



*Fig. 8*

THIS PAGE BLANK (USFRO)